

2021

Community Development Block Grant

CDBC-CV3



FUNDING APPLICATION FOR:

- CDBG-CV3 PUBLIC FACILITIES & IMPROVEMENTS

APPLICATIONS WILL BE ACCEPTED:

Monday, January 25, 2021 - Friday, February 26, 2021

APPLICATIONS SHOULD BE SUBMITTED TO:

City of Anniston

Community Development Department

4309 McClellan Blvd

Anniston, AL 36206

Agency Name

Project Title

SUBMITTAL INSTRUCTIONS

Please provide one (1) original application with attachments & one (1) application copy with attachments to the City of Anniston Community Development Department no later than 4:00 p.m. on Friday, February 26, 2021. Please label all attachments.

CHECKLIST

Submission Requirements	Documentation	Check if Enclosed
1. The applicant must a. have nonprofit status for at least one (1) full year, or b. have two (2) full years of operating experience under another nonprofit entity, or c. be a local governmental entity or agency (governmental agencies can skip to line 5)	ATTACHMENT 1: Provide a copy of a 501(c) (3) designation letter from the Internal Revenue Service if a non-profit applicant	<input type="checkbox"/>
2. The applicant must be registered to conduct business in the State of Alabama at the time of application. (Not applicable to governmental agencies)	ATTACHMENT 2: Provide a copy of current certification from the AL Secretary of State. For assistance, please visit: https://www.sos.alabama.gov/	<input type="checkbox"/>
3. The applicant must have an audit prepared by a qualified accountant or accounting service, covering the last two most recent reporting periods of operation. Copies of each audited financial statement must be submitted with the application. Reviews and Compilations will not be accepted. Audit findings will make the applicant ineligible to receive assistance. (Not applicable to governmental agencies)	ATTACHMENT 3: Provide one copy each of the last two most recent audited financial statements that meet the criteria described. Include management letters if applicable.	<input type="checkbox"/>
4. Non-profit organizations must have an active Board of Directors within the last 12 months. (Not applicable to governmental agencies)	ATTACHMENT 4: Provide list of board members and a copy of board meeting minutes authorizing the submittal of this application. Please also include a copy of Conflict of Interest Statement from the Board of Directors.	<input type="checkbox"/>
5. The applicant must have at least twelve (12) months experience directly related to the proposed project or program.	ATTACHMENT 5: Provide funding commitments displayed on letterhead, resumes of principal staff and personnel directly working on the project, and include descriptions of the applicant's previous related program activities.	<input type="checkbox"/>
6. The applicant must submit a written copy of its financial management procedures, including staff responsibilities and required procedures.	ATTACHMENT 6: Provide a copy of the agency's written financial management procedures, and a current organization chart.	<input type="checkbox"/>
7. Each applicant must submit proof of insurance for the following types of insurance: General Liability, Auto Liability, and Worker's Compensation	ATTACHMENT 7: Provide a copy of Certificate of Insurance.	<input type="checkbox"/>
8. Each applicant must submit proof that the organization has registered with the U.S. System for Award Management. Visit www.sam.gov .	ATTACHMENT 8: Provide proof of registration with the U.S. System for Award Management.	<input type="checkbox"/>
9. The contract period for the project, if approved, will begin October 1, 2021 and end no later than September 30, 2022.	ATTACHMENT 9: Provide a projected timeline of proposed activities.	<input type="checkbox"/>

All submitted materials will be used in determining the organization's eligibility for funding.

CDBG-CV3 PROGRAM OVERVIEW

The United States Department of Housing and Urban Development (HUD) through the Community Development Block Grant (CDBG) program provides communities with resources to address a wide range of unique community development needs. The CDBG Program provides annual grants on a formula basis to Entitlement Communities as a means to support viable communities by providing decent housing, a suitable living environment, and opportunities to expand economic opportunities, principally for low-and moderate-income persons.

The CDBG Program has three national objectives:

- Provide a direct benefit(s) to low to moderate income households
- Prevent or eliminate slum or blight
- Address an urgent need or problem within the community

The City of Anniston has been awarded CDBG-CV3 funding through the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) from the United States Department of Housing and Urban Development (HUD). The City's CDBG-CV3 allocation is **\$134,132**. ***Funds awarded under the CDBG-CV3 Program must be utilized to prevent, prepare for, and respond to the coronavirus.***

The **City of Anniston Community Development Department** requests proposals from local non-profit organizations and government entities to carry out eligible activities in the City. This funding application is for the period beginning October 1, 2021 through September 30, 2022. A Selection Committee will review all applications for compliance with requirements and make funding recommendations to the City Council. Recommendations for grant awards will be provided to the City Council during the month of August 2021.

CDBG-CV Public Facility eligible activities include:

<i>For more information, refer to applicable sections of the Housing and Community Development Act of 1974 (for State CDBG Grantees) and CDBG regulations (for Entitlement CDBG grantees).</i>	
Buildings and Improvements, Including Public Facilities	
Acquisition, construction, reconstruction, or installation of public works, facilities, and site or other improvements. <i>See section 105(a)(2) (42 U.S.C. 5305(a)(2)); 24 CFR 570.201(c).</i>	Construct a facility for testing, diagnosis, or treatment.
	Rehabilitate a community facility to establish an infectious disease treatment clinic.
	Acquire and rehabilitate, or construct, a group living facility that may be used to centralize patients undergoing treatment.
Rehabilitation of buildings and improvements (including interim assistance). <i>See section 105(a)(4) (42 U.S.C. 5305(a)(4)); 24 CFR 570.201(f); 570.202(b).</i>	Rehabilitate a commercial building or closed school building to establish an infectious disease treatment clinic, e.g., by replacing the HVAC system.
	Acquire, and quickly rehabilitate (if necessary) a motel or hotel building to expand capacity of hospitals to accommodate isolation of patients during recovery.
	Make interim improvements to private properties to enable an individual patient to remain quarantined on a temporary basis.

In order for a project or program to qualify for CDBG funds, 51% of the program beneficiaries must be low- to moderate-income as defined by HUD. The following table reflects the current HUD income limits for one-to-eight person households who earn at or below 80% of the Area Median Income (AMI) for Anniston-Oxford-Jacksonville, Alabama including Calhoun County, Alabama.

2020 CDBG MAXIMUM HOUSEHOLD INCOME LIMITS

Effective: July 1, 2020

<u>FAMILY SIZE</u>	<u>EXTREMELY LOW 30%</u>	<u>VERY LOW INCOME 50%</u>	<u>LOW INCOME 80%</u>
1	\$12,750	\$21,250	\$33,950
2	\$14,600	\$24,250	\$38,800
3	\$16,400	\$27,300	\$43,650
4	\$18,200	\$30,300	\$48,500
5	\$19,700	\$32,750	\$52,400
6	\$21,150	\$35,150	\$56,300
7	\$22,600	\$37,600	\$60,150
8	\$24,050	\$40,000	\$64,050

Source: U. S. Department of Housing and Urban Development (HUD) www.huduser.gov

CDBG QUALIFYING CRITERIA

The criteria for how a CDBG activities benefit low and moderate income (L/M) persons are categorized as follows:

- 1.) **Area Benefit Activities** - these activities must benefit all residents in a particular service area, where at least 51% (for water, sewer, and flood control projects) or 51% (for capital improvement projects) of persons in the service area are low- and moderate-income, per most recent U.S. Census Data.
 - The service area is determined based upon the nature of the activity, location of the activity, accessibility issues, availability of comparable activities, and boundaries for public facilities and public services. Service area must be determined prior to provision of CDBG assistance.
 - Examples of eligible activities include infrastructure, public facilities, and economic development.
- 2.) **Limited Clientele Activities** - At least 51% of the beneficiaries of the proposed project activity must be low- and moderate-income. In contrast to the low-mod are
- 3.) a benefit activity category listed above, it is not the low- and moderate-income concentration of the service activity that determines eligibility, but rather the actual number of low-and moderate-income persons that will benefit from the activity. In order to qualify under this category, an activity must satisfy one of the following criteria:
 - Benefit a clientele that is generally presumed to be principally low- and moderate-income including abused children, battered spouses, elderly persons, severely disabled adults, homeless persons, illiterate adults, persons living with AIDS, and migrant farm workers or
 - Document household size and income which demonstrates that at least 51% of the clientele are low- and moderate-income or
 - Restrict income eligibility for the activity to low- and moderate-income persons or
 - Be of such a nature and in such a location that it can be concluded that clients are primarily low- and moderate-income.



I. AGENCY INFORMATION			
Agency Name:			
Mailing Address:			
Telephone Number:		Email:	
Contact Person:		Title:	
DUNS Number:		Tax ID #:	
II. PROGRAM INFORMATION			
Program Title:			
Program Location:			
Project Priority:	If your agency submits more than one CDBG-CV3 application, please rank the priority. This project is ranked ____ of ____ CDBG-CV3 project applications.		
Project Type:	Activities		
	<input type="checkbox"/> Facility Rehabilitation		
	<input type="checkbox"/> New Construction		
	<input type="checkbox"/> Park Improvements		
	<input type="checkbox"/> Other		
Funding Request Type:	<input type="checkbox"/> New Project	<input type="checkbox"/> Existing Project	
III. REQUESTED FUNDING			
Total Program Cost		\$	
Total CDBG-CV3 Amount Requested		\$	
Percentage of CDBG-CV3 Investment (<i>CDBG-CV3 Amount Requested/ Total Program Cost</i>)		_____ %	



IV. ORGANIZATION INFORMATION	
1. What is your organization's mission statement?	
2. How long has the Organization existed in its current form?	
3. How long has the Organization had its 501 (c) (3) status? If your organization is a government entity, enter N/A.	
4. How many years has the Organization conducted the project/program for which it is requesting funding?	
V. ORGANIZATION CAPACITY	
I. What percentage of the Organization's budget is grant funded?	
II. How many program staff persons are dedicated to this project (i.e. Case Managers, Intake Coordinators)?	
III. Does the organization have administrative staff (i.e. Accountants, Executive Director) dedicated to this grant?	Yes <input type="checkbox"/> No <input type="checkbox"/>
IV. Has the organization secured funding for the administrative staff for this project?	Yes <input type="checkbox"/> No <input type="checkbox"/>
VI. TARGET POPULATION	
Briefly describe the target population/category of persons to be served in Anniston (i.e. seniors, homeless, abused children, or persons with disabilities). All services must benefit low/mod clientele. For more information select the link provided. For more information select the link provided. 24 CFR 570.208	
VII. PERFORMANCE OBJECTIVES & OUTCOMES	
Select only one of the following <u>objectives</u> that best describes your project.	Select only one of the following <u>outcomes</u> that best describes your project.
<input type="checkbox"/> Suitable Living Environment	<input type="checkbox"/> Improving Availability / Accessibility
<input type="checkbox"/> Decent Housing	<input type="checkbox"/> Improving Affordability
<input type="checkbox"/> Creating Economic Opportunity	<input type="checkbox"/> Improving Sustainability



PUBLIC FACILITY ACTIVITIES (only)

VIII. NARRATIVE

Low and Moderate Area Benefit: *If proposing a public facility activity under the Area Benefit criteria in which the facility benefits all residents of an area where at least 51 percent of the residents are low and moderate income, answer the following question.*

1. *Please provide a detailed description of the proposed project for funding and how this funding will be utilized to prevent, prepare for, and respond to the coronavirus.*

2. *The City will require organizations to submit monthly reports pertaining to expenditure of CDBG-funded activities. Describe and discuss any experiences you have in reporting, monitoring, and/or record-keeping compliance requirements with CDBG and other funding agencies.*

3. *Describe the need for the proposed program/project within the community and provide data that supports this need. Where will the program/activity be completed or carried out?*



4. *Describe the services/activities to be provided or completed and estimate the number of persons to be assisted with CDBG funding. Persons to be assisted should be described in terms of race, ethnicity, and income level. Include any necessary data to support the clientele who will benefit from the program/project. At least 70% of clients served with CDBG funding must be LMI (low and moderate income).*

Limited Clientele Criteria: *If proposing a public facility activity under the Limited Clientele criteria in which the facility will benefit a specific group primarily presumed to be low and moderate income, such as abused children, battered spouses, elderly persons, severely disabled adults, homeless persons, illiterate adults, persons living with AIDS, and migrant farm workers of persons or serve at least 51 percent low and moderate income persons, answer the following question.*

1. *Please provide a detailed description of the proposed project for funding. If this is an existing service, please also include detailed information on how existing service will be expanded if CDBG funds are awarded; the estimated increase of persons receiving the service and/or discussion of additional service to be provided through project/program and how this funding will be utilized to prevent, prepare for, and respond to the coronavirus.*

2. *The City of Anniston will require organizations to submit monthly reports pertaining to expenditure of CDBG-funded activities. Describe and discuss any experiences you have in reporting, monitoring, and/or record-keeping compliance requirements with CDBG and other funding agencies.*



3. *Describe the need for the proposed program/project within the community and provide data that supports this need. Where will the program/activity be completed or carried out?*

4. *Please describe your organization's method for determining income eligibility.*



BUDGET INFORMATION (For Construction/Development Projects)

IX. BUDGET PROPOSAL

Complete the following budget template:

Line Items	CDBG Funds	Other Funds	Total Funds
Appraisals	\$	\$	\$
Acquisition	\$	\$	\$
Legal Services	\$	\$	\$
Demolition	\$	\$	\$
Architects	\$	\$	\$
Surveys	\$	\$	\$
Other	\$	\$	\$
Site Preparation	\$	\$	\$
Labor	\$	\$	\$
Materials	\$	\$	\$
Equipment	\$	\$	\$
Fees and Permits	\$	\$	\$
Construction Management Fees	\$	\$	\$
Builder/Developer Fees	\$	\$	\$
Lead-Based Paint Assessment/Abatement	\$	\$	\$
Insurance/Bonding ¹	\$	\$	\$
Audit ²	\$	\$	\$
Other (Specify): _____	\$	\$	\$
Other (Specify): _____	\$	\$	\$
Other (Specify): _____	\$	\$	\$
Total Construction Costs	\$	\$	\$
Relocation Assistance	\$	\$	\$
GRAND TOTAL	\$	\$	\$

¹Note that General Liability Insurance or General Commercial Liability (1 million); Automobile Liability Insurance, Worker's Compensation, Fidelity Bond (100% of contract amount), and Payment and Performance Bonding are usually required for all contractors. Builders' Risk Insurance is required for all new construction. Costs for coverage should be included in this Budget Summary. If you do not already have this coverage, it is an eligible CDBG expense. All policies must have endorsement specifically naming the City of Anniston as additional insured.

²All projects must have annual independent audit. Agencies with federal or federally-derived funded expenditures of \$750,000 or more must have an annual A-133 audit. Cost of conducting this audit is an eligible CDBG expense.



BUDGET PROPOSAL NARRATIVE

1. For each line item listed in your budget, provide a detailed description of how CDBG funds will be used to support your program.

2. Please provide the source and amount of funding commitments, as well as, additional funding awarded in the past three years for this project.



CONFLICT OF INTEREST ACKNOWLEDGEMENT

Do any family relationships (by blood or marriage) exist between staff in your organization and/or Agency Board members?

Yes ☐ No ☐

If yes, please explain in detail and document the staff person's involvement with these grant funds in the section below.

Do any family relationships (by blood or marriage) exist between staff in your organization and/or Anniston City Council?

Yes ☐ No ☐

If yes, please explain in detail and document the staff person's involvement with these grant funds in the section below.

ACKNOWLEDGED RESPONSIBILITY TO ABIDE BY ALL HUD AND CITY OF ANNISTON REQUIREMENTS

The applicant agrees to abide by all policies, regulations, ordinances, or statutes as required by HUD and the City of Anniston. Please select the following link to comprehensively review the CDBG regulations, [24 CFR 570](#).

Yes ☐ No ☐

CERTIFICATION

I certify that the applicant agency meets the conditions specified in the application instructions and will be able to carry out the proposed services in concert with all federal requirements. I also certify that the organization is a certified IRS 501(c) (3) non-profit or governmental agency. I agree to adhere to the above provisions for all programs receiving assistance from the US Department of Housing & Urban Development. All board and staff members have disclosed any potential conflicts of interests that could violate CDBG Program regulations at this time or at a later date. I further certify that I have reviewed the contents of this application and the rating form and deem them to be accurate and true.

Authorized Representative

Signature	Date
Printed Name	Title